





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Thomas J. SHAFRON, et al.

Group Art Unit: 2173

Serial No.: 10/015,816

: Examiner: Michael ROSWELL

Filed: November 1, 2001

**Attorney Docket No.: 85804.014301** 

For:

METHOD AND SYSTEM OF

FACILITATING ON-LINE SHOPPING

USING AN INTERNET BROWSER

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Supplemental Information Disclosure Statement pursuant to 37 C.F.R. 1.97 and 1.98. The Examiner's attention is directed to the documents listed in the enclosed Forms PTO/SB/08a and PTO/SB/08b.

It is respectfully requested that the Examiner confirms that the submitted documents have been considered by initialing the appropriate portions of Forms PTO/SB/08A and PTO/SB/08B, and returning a copy of those forms to the applicant.

This Supplemental Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(d). Authorization to charge the requisite fee under 37 C.F.R. 1.17(p)(\$180) from Deposit Account No. 501561, in the name of Greenberg Traurig LLP and the requisite fee transmittal is provided herewith.

Favorable consideration and prompt allowance of this application is respectfully requested. In the sevent that there are any questions, or should additional information be required, please do not hesitate to contact Applicant's representative at the number listed below.



Dated: April 5, 2006

Mailing Address: GREENBERG TRAURIG LLP Met Life Building 200 Park Avenue, 20th Floor New York, New York 10166 Phone: 212-801-6729

Fax: 212-801-6400

Respectfully submitted, GREENBERG TRALTRIG

James J/DeCarlo / Registration No. 36,120

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet 1

(Use as many sheets as necessary)

of 4

Complete if Known Application Number 10/015,816 Filing Date November 1, 2001 First Named Inventor SHAFRON, Thomas J Art Unit 2173 ROSWELL, Michael Examiner Name Attorney Docket Number 85804.014301

Cunmine:	Cite	Decument Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	<u> </u>	US- 2005/0039144	02/17/2005	Wada et al.	
		<sup>US-</sup> 2005/0198220	09/08/2005	Wada et al.	
		<sup>US-</sup> 2005/0039141	02/17/2005	Burke et al.	
		<sup>US-</sup> 2002/0070963	06/13/2002	Odero et al.	• •
		<sup>US-</sup> 2002/0018078	02/14/2002	Khan et al.	
		<sup>US-</sup> 2004/0174396	09/09/2004	Jobs et al.	
		<sup>US-</sup> 2003/0131106	07/10/2003	Kasriel	
		us- 2003/0202009	10/30/2003	Kasriel	
		<sup>US-</sup> 2004/0125130	07/01/2004	Flamini et al.	
		<sup>US-</sup> 2004/0186775	09/23/2004	Margiloff et al.	
		<sup>US-</sup> 2002/0070969	06/13/2002	Barksdale et al.	
		<sup>US-</sup> 2004/0061720	04/01/2004	Weber	
		<sup>US-</sup> 2004/0243520	12/02/2004	Bishop et al.	
		<sup>US-</sup> 2001/0001147	05/10/2001	Hutchison et al	
		<sup>US-</sup> 6,185,600	02/06/2001	Spence et al.	
		<sup>US-</sup> 6,266,058	07/24/2001	Meyer	
		<sup>US-</sup> 6,686,938	02/03/2004	Jobs et al.	
		<sup>US-</sup> 6,789,201	09/07/2004	Barton et al.	
	T	<sup>US-</sup> 6,490,602	12/03/2002	Kraemer	

		FORE	IGN PATENT DOCL	MENTS		
Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
			MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
		WO 2005/043355 A2	05/12/2005	Yahoo! Inc.		
		WO 2005/017670 A2	02/24/2005	Yahoo! Inc.		
		WO 2005/019983 A2	03/03/2005	Yahoo! Inc.		
		WO 01/67285 A2	09/13/2001	MERINTA, INC.		
		WO 00/31657 A2	06/02/2000	REDCARD TECHNOLOGIES		L
		WO 01/39046 A1	05/31/2001	OZ.COM		

Examiner	Date	
Signature	Considered	
- 3		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 0 5 2006

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

		U. S. PATENT		
Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Number-Kind Code <sup>2</sup> (** *********************************	00/00/0004	IZ:b-II -b -I	
		03/21/2000	Himmel et al.	
		09/23/2003	Shahine et al.	
		11/16/2004	Sobeski et al.	
	<sup>US-</sup> 6,851,060	02/01/2005	Shrader	
	<sup>US-</sup> 6,868,394	03/15/2005	Mele	
	<sup>US-</sup> 6,434,563	08/13/2002	Pasquali et al.	
	<sup>US-</sup> 6,651,217	11/18/2003	Kennedy et al.	
	<sup>US-</sup> 6,226,752	05/01/2001	Gupta et al.	
	<sup>US-</sup> 6,748,367	06/08/2004	Lee	
	<sup>US-</sup> 6,873,974	03/29/2005	Schutzer	
	<sup>US-</sup> 6,286,001	09/04/2001	Walker, et al.	
	<sup>US-</sup> 6,476,833	11/05/2002	Moshfeghi	
	<sup>US-</sup> 5,781,724	07/14/1998	Nevarez et al.	
	us- 6,865,680	03/08/2005	Wu et al.	
	<sup>US-</sup> 6,584,505	06/24/2003	Howard et al.	· · · · · · · · · · · · · · · · · · ·
	<sup>US-</sup> 6,385,619	05/07/2002	Eichstaedt et al.	
<u> </u>	<sup>US-</sup> 5,805,803	09/08/1998	Birrell et al.	
	<sup>US-</sup> 6,496,936	12/17/2002	French et al.	
		No.¹    Number-Kind Code² (f known)     US- 6,704,031     US- 6,041,360     US- 6,624,831     US- 6,819,343     US- 6,851,060     US- 6,868,394     US- 6,434,563     US- 6,651,217     US- 6,226,752     US- 6,748,367     US- 6,873,974     US- 6,286,001     US- 6,476,833     US- 5,781,724     US- 6,865,680     US- 6,584,505     US- 6,385,619     US- 5,805,803	Cite No.1         Document Number         Publication Date MM-DD-YYYY           US- 6,704,031         03/09/2004           US- 6,041,360         03/21/2000           US- 6,624,831         09/23/2003           US- 6,819,343         11/16/2004           US- 6,851,060         02/01/2005           US- 6,868,394         03/15/2005           US- 6,651,217         11/18/2003           US- 6,651,217         11/18/2003           US- 6,748,367         06/08/2004           US- 6,748,367         06/08/2004           US- 6,873,974         03/29/2005           US- 6,286,001         09/04/2001           US- 6,476,833         11/05/2002           US- 5,781,724         07/14/1998           US- 6,865,680         03/08/2005           US- 6,584,505         06/24/2003           US- 5,805,803         09/08/1998	No.1   Number-Kind Code <sup>2 (f known)</sup>   MM-DD-YYYY   Applicant of Cited Document

		FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)  MM-DD-YYYY		Or Relevant Figures Appear	1,	
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. This is document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sheet 3

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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 Complete if Known

 Application Number
 10/015,816

 Filing Date
 November 1, 2001

 First Named Inventor
 SHAFRON, Thomas J

 Art Unit
 2173

 Examiner Name
 ROSWELL, Michael

 Attorney Docket Number
 85804.014301

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,796,393	08/18/1998	MacNaughton et al.	
		<sup>US-</sup> 6,125,352	09/26/2000	Franklin et al.	
		<sup>US-</sup> 6,175,869	01/16/2001	Ahuja et al.	
		<sup>US-</sup> 6,112,240	08/29/2000	Pogue et al.	
		US-			
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	-	FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	6
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)		Or Relevant Figures Appear	T	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/015.816 Filing Date November 1, 2001 **First Named Inventor** SHAFRON, Thomas J Art Unit 2173 **Examiner Name** ROSWELL, Michael

(Use as many sheets as necessary)

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Attorney Docket Number 85804.014301 Sheet 4 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Preliminary Examination Report for International Application No. PCT/US02/34509; May 11, 2004	
		International Preliminary Examination Report for International Application No. PCT/US02/34510; August 12, 2004	
		International Search Report for International Application No. PCT/US04/24397; October 31, 2005	
		Written Opinion for International Application No. PCT/US04/24397; OCtober 31, 2005	
		International Preliminary Examination Report for International Application No. PCT/US2004/024397; February 13, 2006	
		HAUSER, "Generic Extensions of WWW Browsers", First USENIX Workshop on Electronic Commerce; August 10, 1995; pp. 147-154	

Examiner		Date	
Signature	*	Considered	

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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				Complete	if Known	
Fees pursuant to the Consolidate			Application Number	10/015,8	16	
FEE TRA	ANS	MITTAL	Filing Date	Novembe	er 1, 2001	
For	<b>FY 20</b>	006	First Named Inventor	Thomas	J. SHAFRON	
			Examiner Name	Michael F	ROSWELL	
Applicant claims small er	ntity status.	See 37 CFR 1.27	Art Unit	2173		
TOTAL AMOUNT OF PAYME	ENT (\$)	180.00	Attorney Docket No.	85804.01	14301	
METHOD OF PAYMENT (	check all	that apply)				
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FEE CALCULATION (All	the fees	below are due upon	filing or may be subj	ect to a su	rcharge.)	
1. BASIC FILING, SEARC	H, AND E	XAMINATION FEES			1.5550	
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Reissue	300	150 500	250 6	00 30	00	
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SUBMITTED BY	1/	<del>-/</del>	Registration No. (Attorney/Agent) 36,120		Telephone	200
Signature	1/1	/	(Attorney/Agent) 36,120		Telephone 212-8	
Name (Print/Type) James J. De	Carlo 1	1			Date April 5, 200	06

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application (s): Thomas Joshua	LING BY "EXPRESS MAIL SHAFRON et al.	" (37 CFR 1.10)	Docket Number 85804.014301
Serial No. 10/015,816	Filing Date November 1, 2001	Examiner Michael CROSWELL	Group Art Uni 2173
nvention: METHOD AND SY  I hereby certify that the following		NE SHOPPING USING AN INTER	NET BROWSER
PTO SB/08A (3pgs.) PTO SB/08B (1pg) 6 foreign patent refs; 6 non-patent lit ref. Fee Transmittal authorizing	Disclosure Statement (2 pages); 180.00 from Deposit Acct 50-156		
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